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PETITION TO ACCEPT UNAVOIDABLY DELAYED PAYMENT OF
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Docket Number (Optional)

Ncui- A94A

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Patent No. 6,149,165
Issue Date 11/21/00Application Number 09/104,871
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- ☐ Is a reissue of original Patent No. _____ original issue date _____;
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- ☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international
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05/27/2005 DALLEN

00000007 6149165

01 FC:2551
02 FC:2554450.00 OP
65.00 OP

(Page 1 of 4)

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PAGE 2/11 * RCVD AT 5/25/2005 4:30:07 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-1/8 * DNIS:8729306 * CSID:6196922003 * DURATION (mm-ss):03-28

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☒ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27.

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(Page 2 of 4)

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9. PETITIONER(S) REQUESTS THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.



Signature(s) of Petitioner(s)

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Address

5/25/05

Date

40,186

Registration Number, if applicable

619 692 2004

Telephone Number

ENCLOSURES:

☒ Maintenance Fee payment☒ Statement why maintenance fee was not paid timely☒ Surcharge under 37 CFR 1.20(i)(1) (fee for filing the maintenance fee petition)☒ Other: RECORDS OF 5/27/05 MAINT. FEE FILING

[Page 3 of 4]

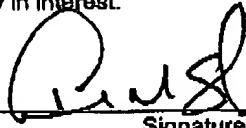
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Applicant(s): Nguyen

Title: "Improved Collet Assembly and Manufacturing Process"

Patent No.: 6,149,165

Docket No. NGU1-A94A

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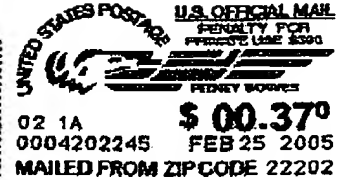
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NOTICE OF PATENT EXPIRATION

According to the records of the U.S. Patent and Trademark Office (USPTO), payment of the maintenance fee for the patent(s) listed below has not been received timely prior to the end of the six-month grace period in accordance with 37 CFR 1.362(e). THE PATENT(S) LISTED BELOW HAS THEREFORE EXPIRED AS OF THE END OF THE GRACE PERIOD. 35 U.S.C. 41(b). Notice of the expiration will be published in the USPTO Official Gazette.

Expired patents may be reinstated in accordance with 37 CFR 1.378 if upon petition, the maintenance fee and the surcharge set forth in 37 CFR 1.20(i) are paid, AND the delay in payment of the maintenance fee is shown to the satisfaction of the Director to have been unavoidable or unintentional. 35 U.S.C. 41(c)(1).

If the Director accepts payment of the maintenance fee and surcharge upon petition under 37 CFR 1.378, the patent shall be considered as not having expired but would be subject to the intervening rights and conditions set forth in 35 U.S.C. 41(c)(2).

For instructions on filing a petition under 37 CFR 1.378 to reinstate an expired patent, you may call the USPTO Contact Center at 800-786-9199 or 703-308-4357.

PATENT NUMBER	U.S. APPLICATION NUMBER	PATENT ISSUE DATE	APPLICATION FILING DATE	EXPIRATION DATE	ATTORNEY DOCKET NUMBER
6149165	09104852	11/21/00	06/25/98	11/22/04	8861-244 (P16)



US006149165A

United States Patent [19]**Nguyen**[11] **Patent Number:** **6,149,165**[45] **Date of Patent:** ***Nov. 21, 2000**[54] **COLLET ASSEMBLY AND
MANUFACTURING PROCESS**[76] **Inventor:** **Tuan Nguyen**, 9052 Blair River Cir.,
Fountain Valley, Calif. 92708[*] **Notice:** This patent is subject to a terminal disclaimer.[21] **Appl. No.:** **09/104,852**[22] **Filed:** **Jun. 25, 1998****Related U.S. Application Data**

[63] Continuation-in-part of application No. 08/893,273, Jul. 15, 1997.

[51] **Int. Cl.**⁷ **B23B 29/04**; B23B 29/14;
B23B 31/20[52] **U.S. Cl.** **279/46.2**; 279/43; 279/43.1;
279/46.1; 279/50; 279/51; 279/58; 279/102;
279/103[58] **Field of Search** 279/43, 43.1, 46.1,
279/46.2, 50, 51, 58, 102, 103[56] **References Cited****U.S. PATENT DOCUMENTS**

2,346,706 4/1944 Stoner 279/46

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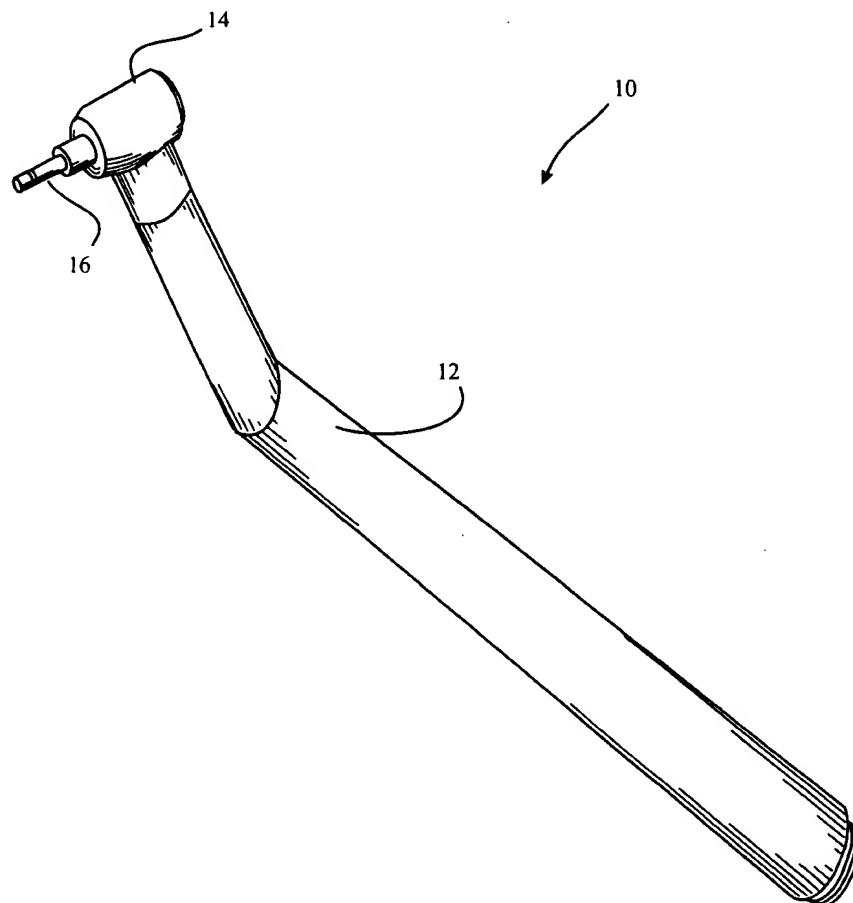
3,035,845 5/1962 Benjamin 279/47

3,599,998 8/1971 Kiwalle et al. 279/51

5,947,485 9/1999 Nguyen 279/46.2

Primary Examiner—Andrea L. Pitts*Assistant Examiner*—Mark T. Henderson*Attorney, Agent, or Firm*—Steins & Associates[57] **ABSTRACT**

An Improved Collet Assembly and Manufacturing Process is disclosed. Also disclosed is an improved collet that includes a spindle, a center portion comprising several tabs and a collar. The disclosed collet is manufactured from a single piece of stock, and as such, the tabs are formed from this unitary piece. The disclosed collet is inserted into a collet housing that is further defined by a bore at its center. The preferred collet housing bore is further defined by a sloped portion that cooperates with the tabs formed on the collet to restrain a burr shaft from rotational or axial movement. The collet assembly of the present invention provides improved balance and therefore wear properties over previous collet assemblies. In particular, the disclosed collet assembly is well-suited for application inside a canister assembly of an air-powered dental tool handpiece.

11 Claims, 10 Drawing Sheets

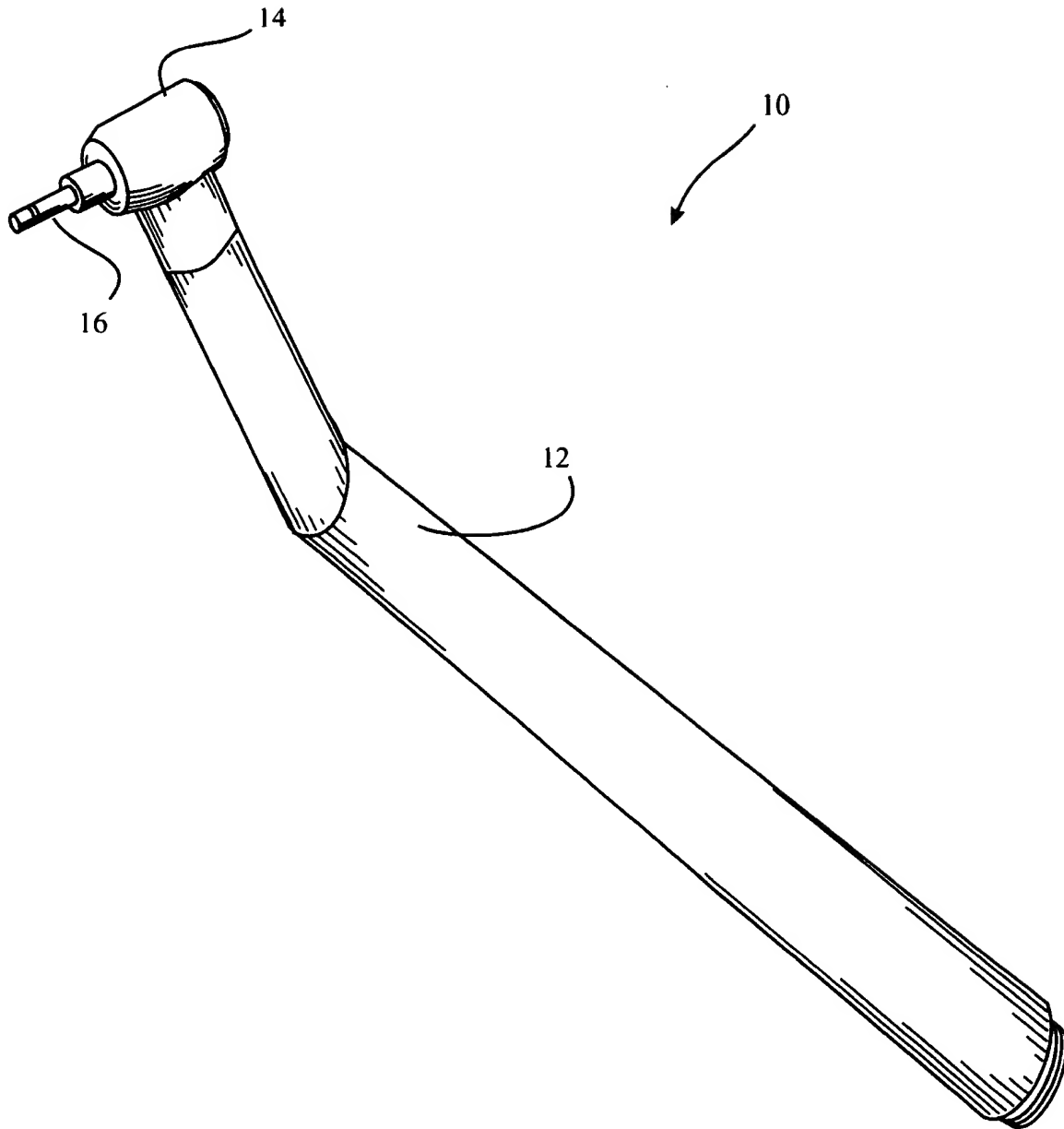


FIGURE 1

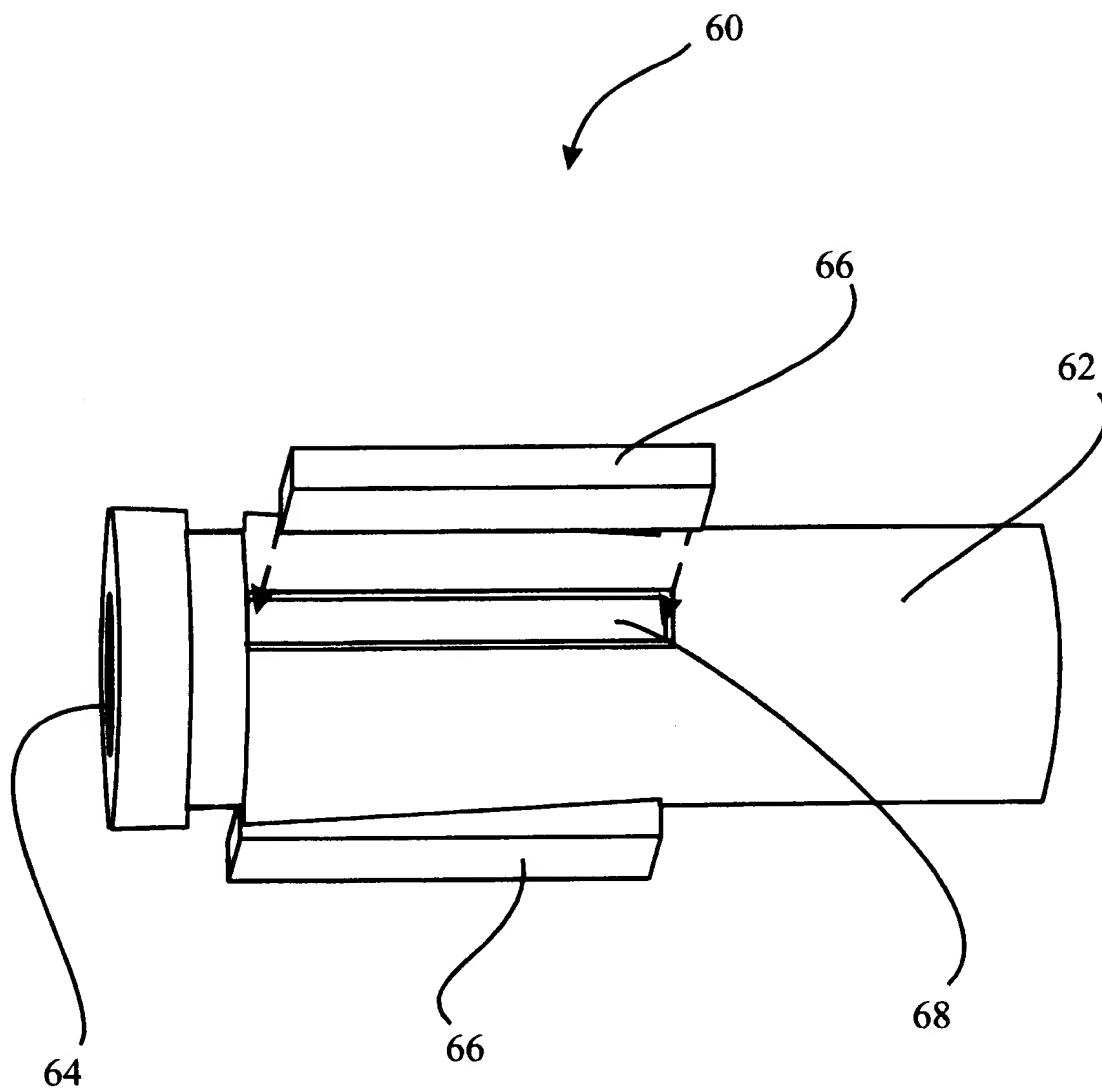


FIGURE 1a

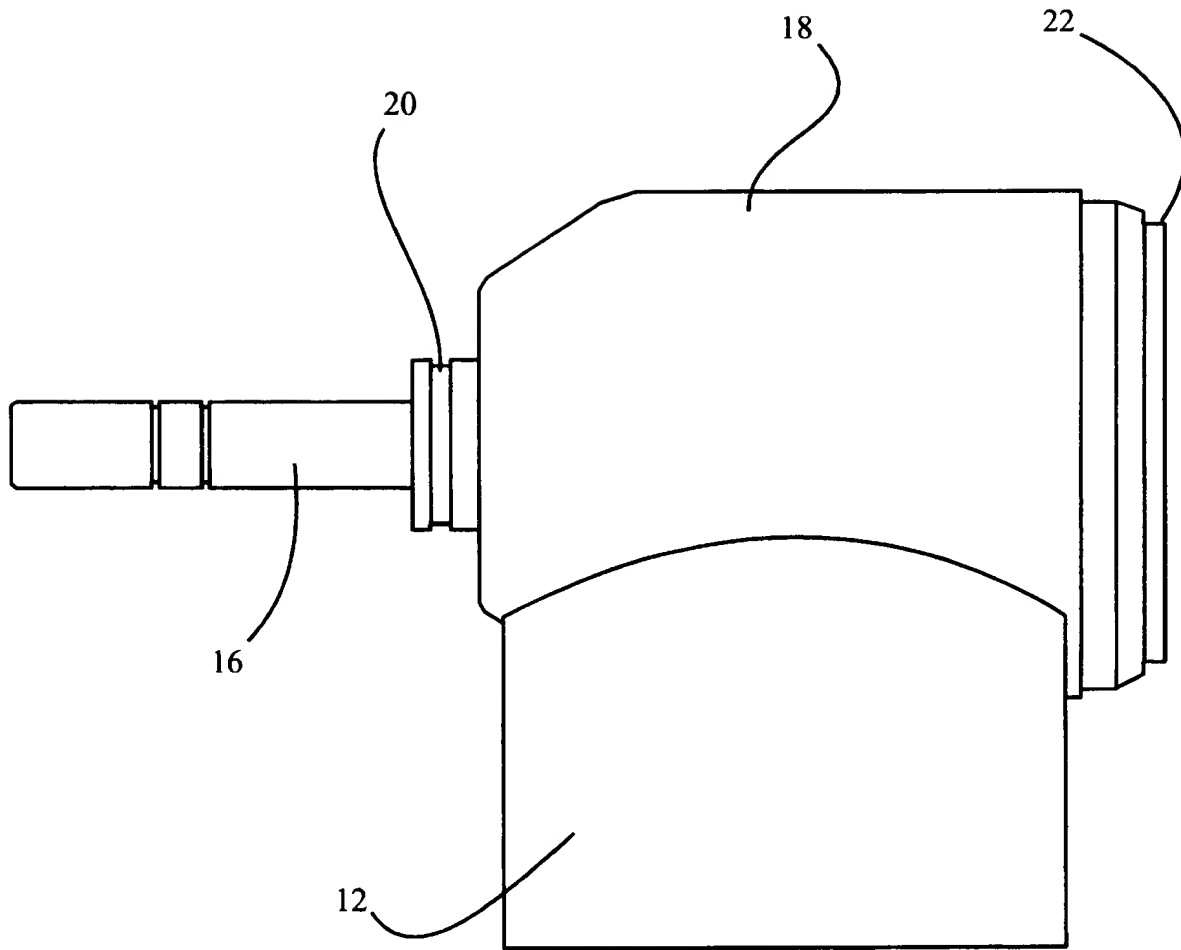


FIGURE 2

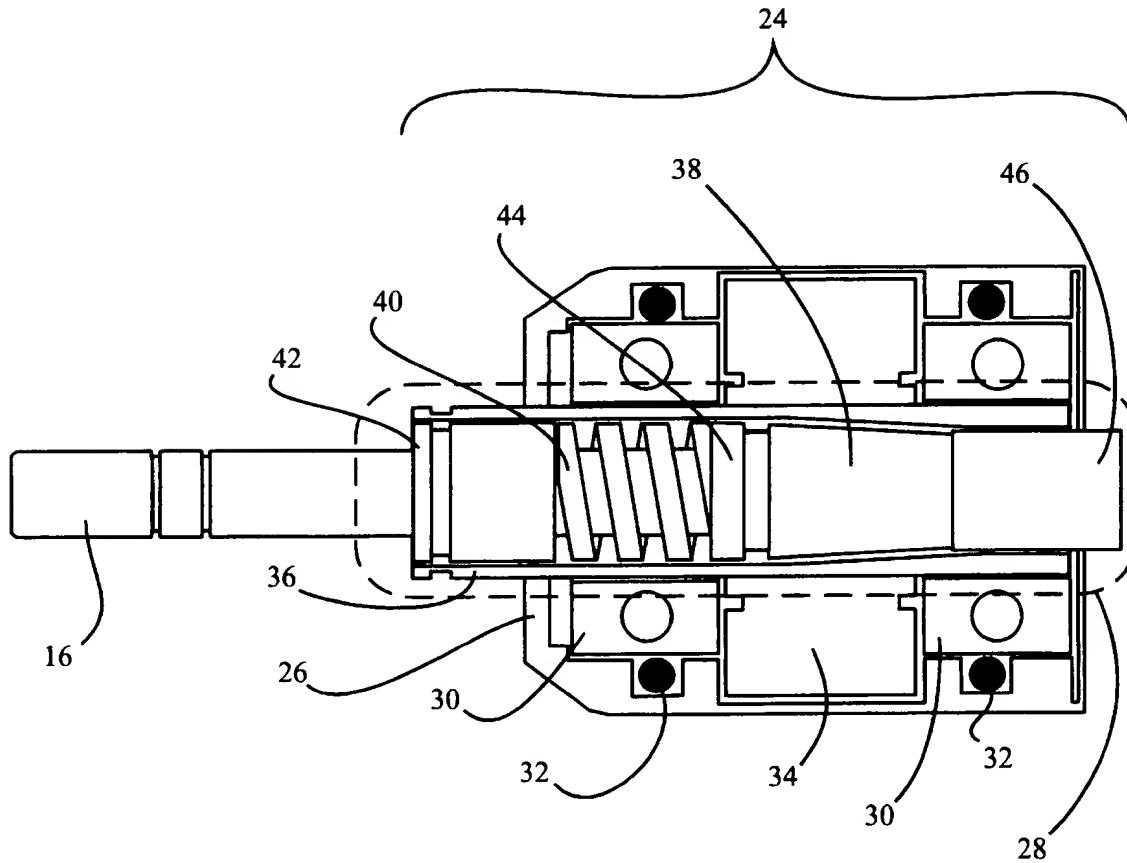


FIGURE 3

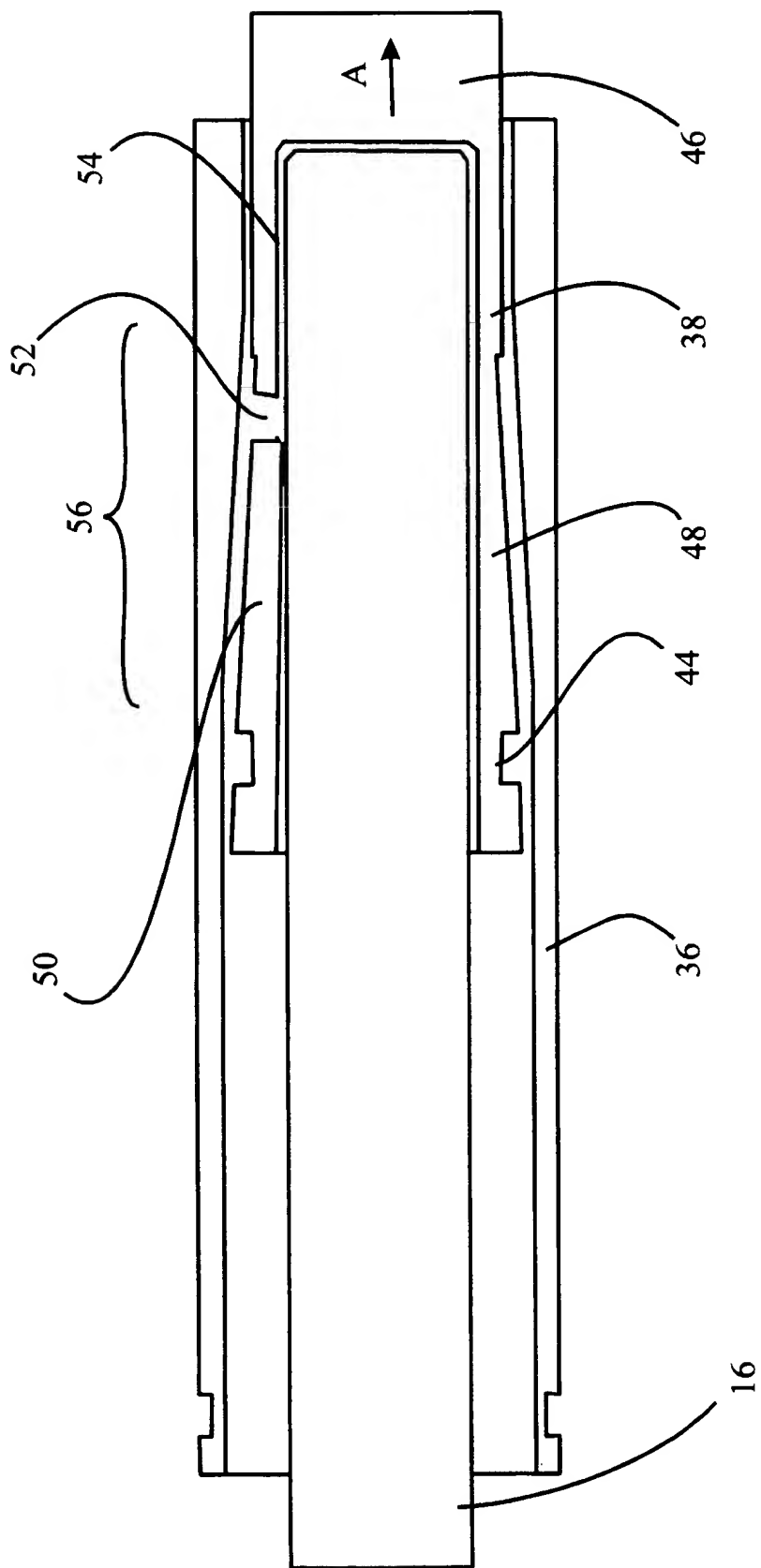


FIGURE 4

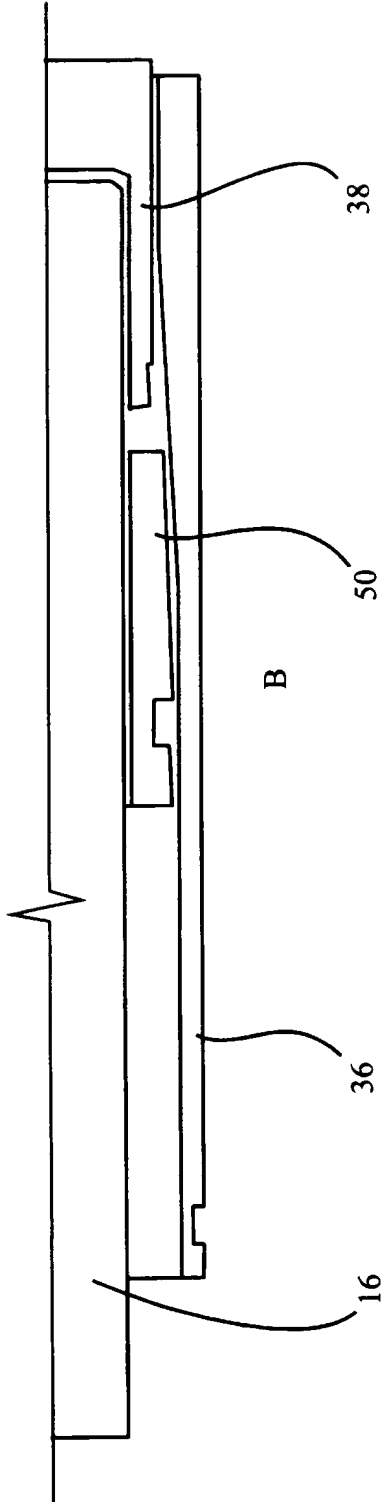
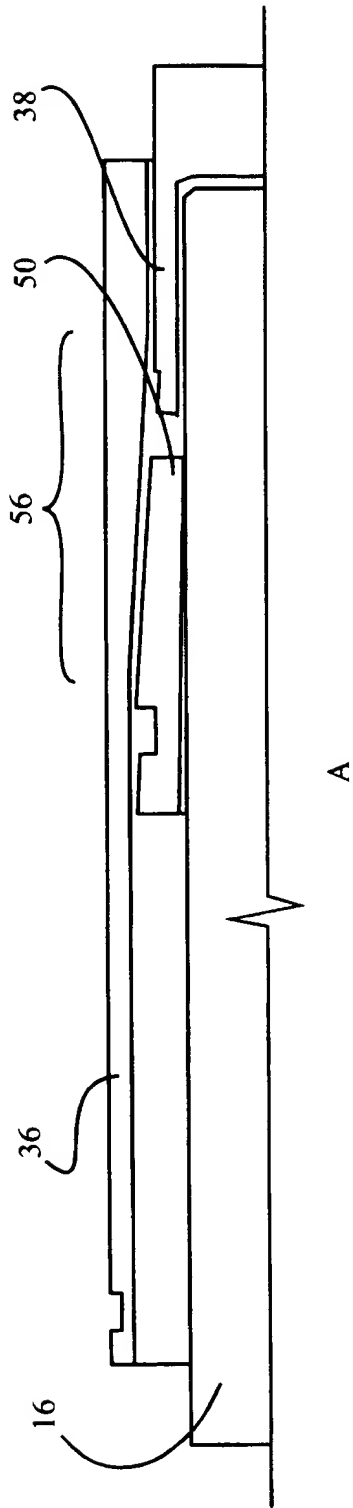


FIGURE 5

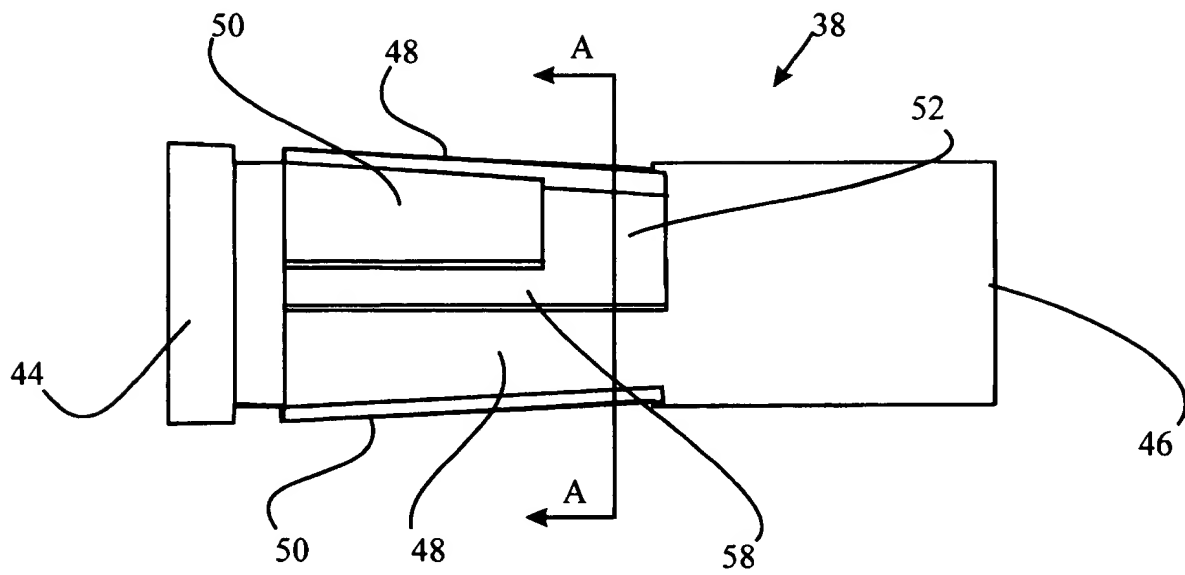


FIGURE 6

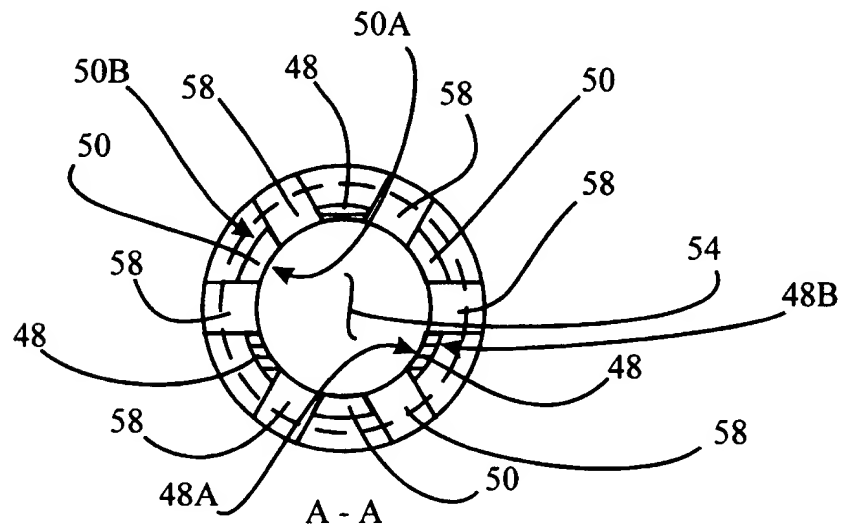
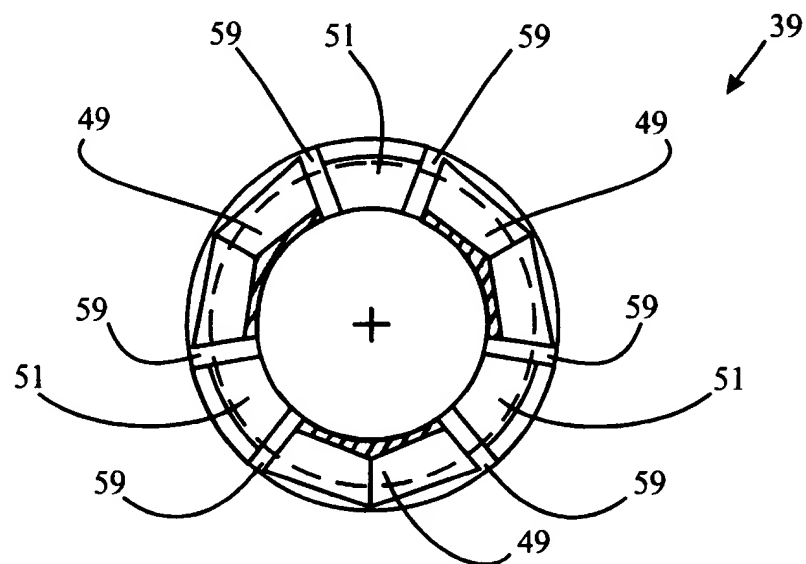
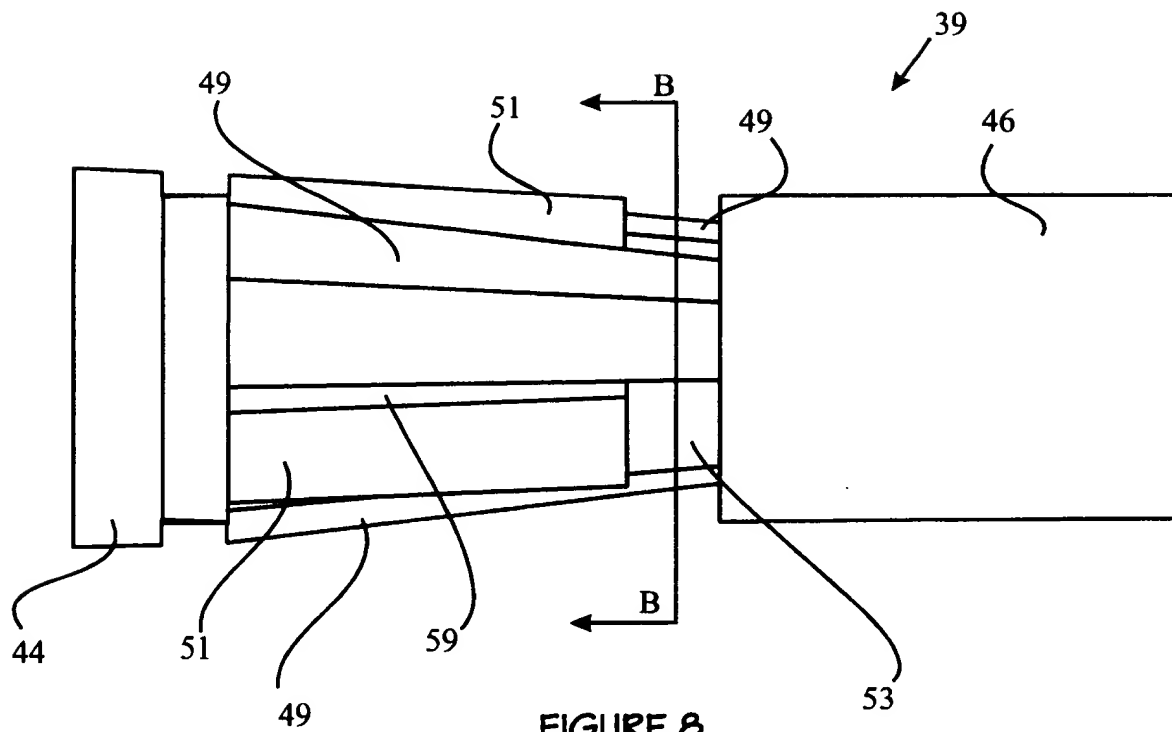


FIGURE 7



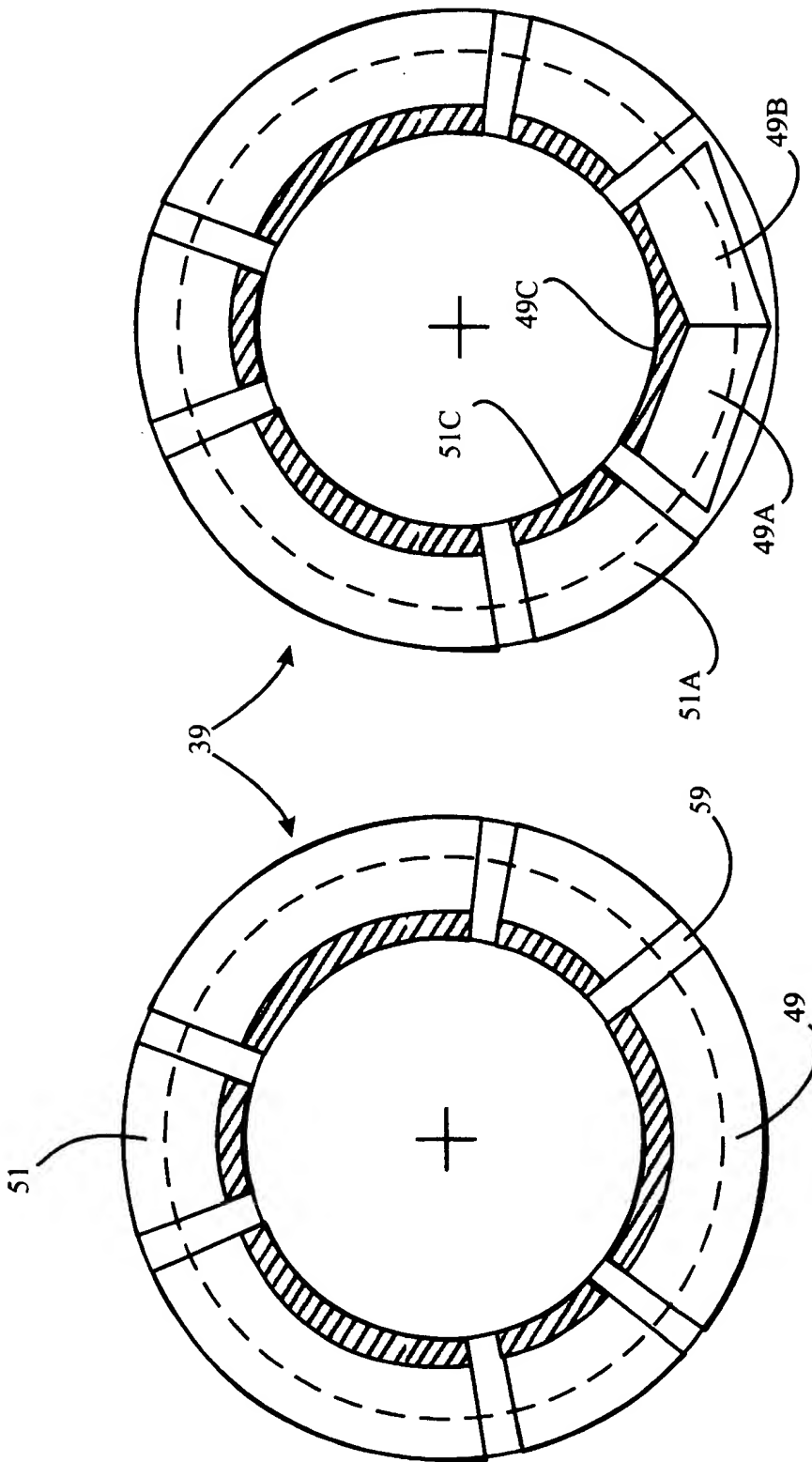
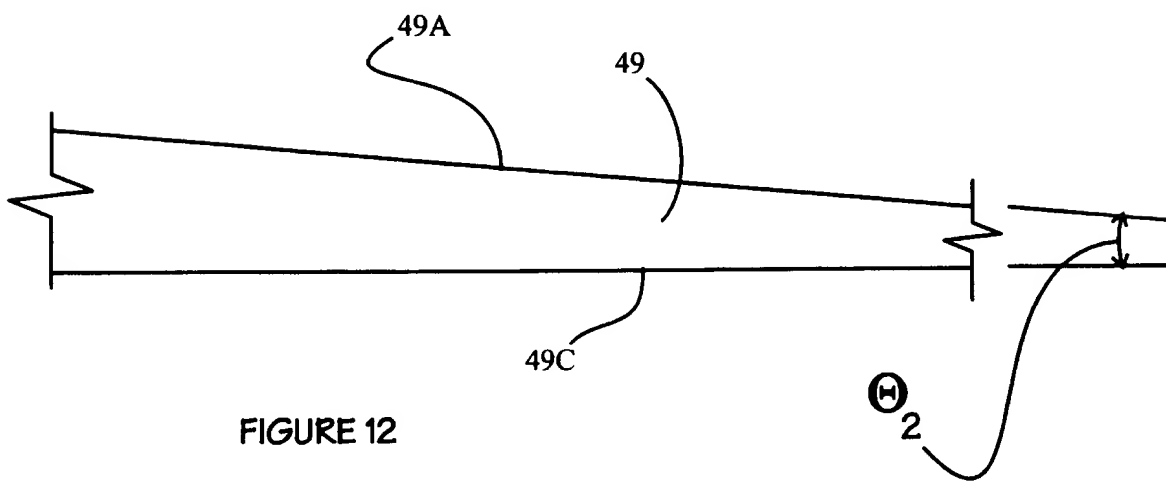
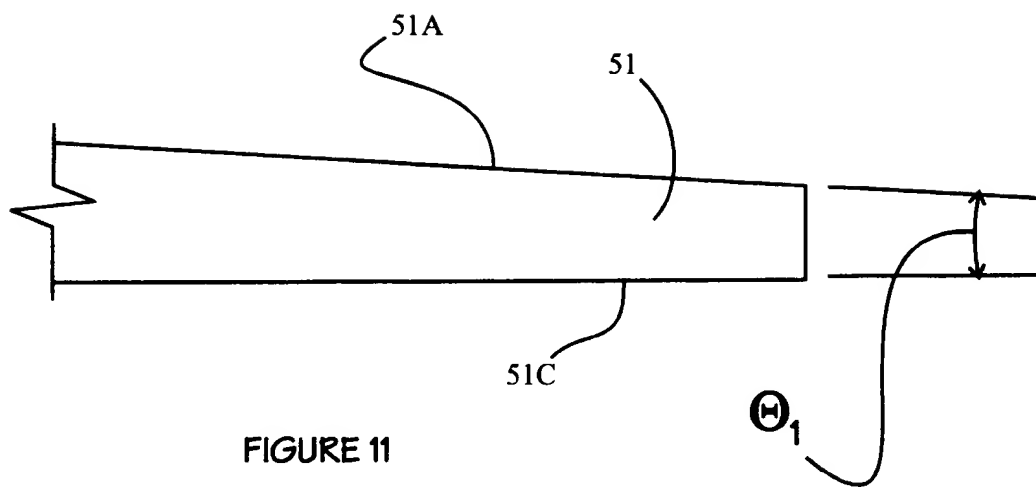


FIGURE 10B

FIGURE 10A



1

COLLET ASSEMBLY AND MANUFACTURING PROCESS

This application is a continuation-in-part of U.S. patent application Ser. No. 08/893,273 filed Jul. 15, 1997, now pending.

BACKGROUND OF THE INVENTION

1. Field of the Invention

This invention relates generally to collets or chucks for grasping rotating tool bit shafts and, more specifically, to an Improved Collet Assembly and Manufacturing Process.

2. Description of Related Art

FIG. 1 is a perspective view of a conventional dental handpiece 10 within which the device of the present invention might be used. Dental handpieces 10 are used to operate "burrs", or rotating cutting tools, similar to conventional drill or router bits, for cutting or grinding teeth or dental appliances. The typical handpiece 10 is designed to receive compressed air for its operation from a dentist's chair. These handpieces 10 are available in a variety of shapes and sizes, depending upon their purpose and/or application. As depicted, the handpiece 10 comprises a handle 12 and a head 14. A "canister assembly" is housed within the head 14. The "canister assembly" comprises, essentially, a compressed air-NGU powered motor with a removable tool shaft 16 held therein. The tool shaft 16 in a typical handpiece 10 is removable so that different burrs or bits may be used so long as they have the same size tool shaft 16. The tool shaft 16 is held within the canister assembly by the "collet assembly".

FIG. 1a depicts a typical prior art collet assembly 60. The state of the prior art collet for dental handpieces comprises a tapered portion 62 that further has an axial bore 64 drilled into it that is configured to receive a burr or bit. The burr or bit is held in place by a pair of free-floating wedges 66 that ride in slots 68 formed around the circumference of the tapered portion 62. Once a burr or bit is inserted into this prior collet 60, the wedges 66 are biased towards the shaft to hold the shaft in place both axially and rotationally.

There are at two least problems with this prior collet design. First, there are significant manufacturing difficulties in this design. Since the typical burr or bit is approximately 0.06 inches in diameter, the wedges and slots must be extremely small—on the order of 0.01 inches wide and 0.1 inches long. Because of the high speed of rotation of the shaft in operation, the shaft must be balanced to high precision. This, in turn necessitates extremely tight tolerances for the wedges and slots. While these tolerances are attainable, it adds considerable cost to the manufacturing process. What is needed is a collet that is precision balanced, but does not require extraordinarily tight manufacturing tolerances.

The second deficiency with the prior design as shown in FIG. 1 a is the lack of self-centering capability. Because the collet is fairly thin-walled, there is a limit to the number of slots that can be cut through it before its structural integrity is compromised. The prior collet, therefore, can only have the two slots and wedges. The problem with only having two wedges is that the burr or bit is not automatically centered by the collet. As stated above, the high rotational speed of the collet means that any slight misalignment will result in a short service life for the canister and collet (due to wear). What is needed is an improved collet that self-centers the shaft held therein.

SUMMARY OF THE INVENTION

In light of the aforementioned problems associated with the prior devices, it is an object of the present invention to

2

provide an Improved Collet Assembly and Manufacturing Process. It is a further object that the improved collet of the present invention include a spindle, a center portion comprising several tabs and a collar. It is an object that the collet be manufactured from a single piece of stock, and as such, that the tabs are formed from this unitary piece. The collet is inserted into a collet housing that is further defined by a bore in its center. It is an object of this invention that the collet housing bore is further defined by a sloped portion that cooperates with the tabs formed on the collet to restrain a burr shaft from rotational or axial movement. It is a still further object that the collet assembly of the present invention provide improved balance and therefore wear properties over previous collet assembly.

BRIEF DESCRIPTION OF THE DRAWINGS

The objects and features of the present invention, which are believed to be novel, are set forth with particularity in the appended claims. The present invention, both as to its organization and manner of operation, together with further objects and advantages, may best be understood by reference to the following description, taken in connection with the accompanying drawings, of which:

FIG. 1 is a perspective view of a dental handpiece;

FIG. 1 a is a perspective view of a typical prior collet assembly;

FIG. 2 is a side view of the head of a dental handpiece;

FIG. 3 is a cutaway side view of a preferred canister assembly of the present invention;

FIG. 4 is a partial cutaway side view of a preferred collet assembly of the present invention;

FIG. 5 is a pair of partial cutaway side views of the collet assembly of FIG. 4, depicting the restraining action of the preferred tab;

FIG. 6 is a side view of a preferred collet;

FIG. 7 is a partial cutaway side view of the collet of FIG. 6, depicting the preferred cross-section of the collet;

FIG. 8 is a side view of an alternative preferred collet of the present invention;

FIG. 9 is a partial cutaway side view of the collet of FIG. 8, depicting the cross-section of the alternative collet;

FIGS. 10A and 10B are partial cutaway side views depicting the novel manufacturing process for making the collet of FIGS. 8 and 9;

FIG. 11 is a partial cutaway side view of the alternative tab of the collet of FIGS. 8 through 10; and

FIG. 12 is a partial cutaway side view of the alternative strut of the collet of FIGS. 8 through 10.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The following description is provided to enable any person skilled in the art to make and use the invention and sets forth the best modes contemplated by the inventor of carrying out his invention. Various modifications, however, will remain readily apparent to those skilled in the art, since the generic principles of the present invention have been defined herein specifically to provide an Improved Collet Assembly and Manufacturing Process.

The present invention can best be understood by initial consideration of FIG. 2. FIG. 2 is a side view of the head 18 of a dental handpiece (see FIG. 1). The head 18 houses, among other things, the collet assembly 20. The collet assembly 20 is configured to accept a tool shaft 16 and

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restrain it from rotational or axial motion with respect to the collet assembly 20. In order to remove the tool shaft 16, one need simply press on the release button 22 and pull axially (away from the collet assembly 20) on the tool shaft 16.

Now turning to FIG. 3, one may better understand the unique attributes of the present invention. FIG. 3 is a cutaway side view of a preferred canister assembly 24 of the present invention. The canister assembly 24 typically is inserted into the head after first unscrewing the release button (see FIG. 2). The canister assembly 24 comprises a canister housing 26 within which the collet assembly 28 rotates. The collet assembly 28 rotates on a pair of bearing assemblies 30 that are typically pressed onto the collet assembly 28 and then are each sealed to the canister housing 26 by an O-ring 32. These bearing assemblies 30 and O-rings 32 are in use in conventional canister assemblies. The collet assembly 28 is caused to rotate when compressed air is directed across the turbine 34. The turbine 34 is simply a single-stage fan in its. As describe above, the tool shaft 16 is held in the collet assembly 28, and therefore will rotate with the collet assembly 28.

The unique collet assembly 28 of the present invention comprises a collet housing 36 within which is a collet 38, a spring 40 and a retainer 42. The collet 38 is further defined by a collar 44 at its front end, and a spindle 46 at its rear end. The tool shaft 16 slides into the collet 38 through the collar 44, and is held in the collet 38 by the interference fit between the shaft 16 and the collet 38; further detail regarding this situation is found below in connection with FIGS. 4-7. The collet 36 is urged against the collet housing 34 as a result of the spring 40 pressing against the collar 44. If sufficient axial force is applied to the spindle 46 to oppose the spring 40, the collet 38 will no longer be in contact with the collet housing 36. This axial force is provided by a user pressing on the release button (see FIG. 2), which in turn will contact the spindle. The spring 40 and collet 38 are retained within the collet housing 36 by the retainer 42. The retainer 42 is attached to the collet housing 36, preferably via an interference fit. The materials of construction of all of these elements (with the exception of the O-rings) is metal, as is well known in the art.

FIG. 4 provides illumination regarding the unique collet design and the process for making it. FIG. 4 is a partial cutaway side view of a preferred collet assembly of the present invention. As can be seen, the collet 38 comprises a spindle 46 and a collar 44, which are connected to one another by a plurality of struts 48 (only one shown here). The collet 38 also comprises a plurality of tabs 50 (only one is shown), that are separated from the spindle 46 by an aperture 52. The collar 44, tabs 50, struts 48 and spindle 46 form a bore 54 in the center of the collet 38. It should be noticed that the collet housing 36 is defined by a tapered portion 54 on its interior surface that is configured to interact with the tabs 50. It should further be noticed that the tabs 50 are thicker than the struts 48, and as such, will contact the tapered portion 56 before the struts 48, as the collet 38 is moved in direction "A".

Once the tool shaft 16 is inserted into the bore 54, the collet 38 is biased rearwardly in the direction of arrow "A" (see spring discussion above) until the tabs 50 are pressed against the tool shaft 16 by the tapered portion 56 of the housing. If the collet 38 is moved in the direction opposite arrow "A", the interference forces between the tapered portion 56, the tabs 50, and the tool shaft 16 will be removed, and the tool shaft 16 will slip easily out of the bore 54.

A discussion of the unique process to manufacture this unique collet is now in order. First, a piece of solid metal

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stock is machined to have the outer surface shape and dimensions of the collet 38 depicted in FIGS. 3 and 4. A hole, having a diameter smaller than that of the tool shaft 16 is drilled through the center of the piece from the collar 44 to the spindle 46. The apertures 52 and slots (see FIGS. 6 and 7) are then cut to free the tabs 50 from the struts 48. Next, the hole is reamed out to the final diameter that will permit the tool shaft 16 to be inserted. Since the tabs 50 were free-floating when the hole was reamed out, they will not be reamed (or made thinner) during this boring step, but will simply deflect out of the way of the cutting tool. The result is a simple, tight tolerance, low-cost method for creating these tabs 50 that are thicker than the struts 48.

FIG. 5 gives another depiction of the operation of the present invention. FIG. 5 is a pair of partial cutaway side views of the collet assembly of FIG. 4, depicting the restraining action of the preferred tabs 50. View A depicts the tool shaft 16 in a restrained condition inside of the collet 38. As is depicted, the tab 50 is being forced against the tool shaft 16 by the tapered portion 56 of the collet housing 36. View B depicts the tool shaft 16 in an un-restrained condition inside of the collet 38. As can be seen, the collet 38 has moved to the left in the diagram, and the tab 50 has become disengaged from the collet housing 36, thereby releasing the tool shaft 16 to be removed.

Now turning to FIG. 6, we can gain an even better understanding of the improved collet 38 of the present invention. FIG. 6 is a side view of a preferred collet 38. The collet 38 is preferably cylindrical in shape, and is defined by a plurality of tabs 50 and struts 48. Between each tab 50 and strut 48 is a slot 58 that connects to the bore (not shown) and separates each strut 48 from the adjacent tabs 50. Each tab 50 is further separated at one end from the spindle 46 by an aperture 52, and as such, the tabs 50 are free to deflect outwardly and inwardly, as necessary.

FIG. 7 is presented to provide further detail regarding the collet 38. FIG. 7 is a partial cutaway side view of the collet 38 of FIG. 6, depicting the preferred cross-section of the collet along the line A—A. In the depicted embodiment, there are three struts 48, separated from the three tabs 50 by six slots 58 that penetrate from the outer surface of the collet 38 to the inner bore 54. In the depicted form, there will be an even and balanced (three-sided) force against the tool shaft (see previous figures) by the tabs 50. This balanced force will also cause the tool shaft to be self-centered, without the need for the special manufacturing tolerances of the prior devices. A further detail that can be observed from FIG. 7 is the shape of the Tab 50. On it's inner, tool-engaging surface 50A, the Tab 50 has a concave shape; on its outer, housing-engaging side 50B, the Tab has a convex shape. These shapes cooperate to cause the inner surface 50A of the Tab 50 to grasp an inserted tool when the outer surface 50B is forced against the housing 36. Similarly, the Struts 48 each have an inner concave surface 48A and an outer convex surface 48B. These design characteristics result in well-balanced rotation.

In its preferred form, the present canister assembly 24 will sustain a collet assembly 28 rotational velocity of 510 to 530 revolutions per minute, as compared to 460 RPM for a conventional unit. Furthermore, the balanced design and easy repeatability has resulted in twice the longevity of the conventional canister assembly 24.

Another alternative design for the present invention is presented by FIG. 8. FIG. 8 is a side view of an alternative preferred collet 39 of the present invention. In this form, the collet 39 comprises three tabs 51 and three struts 49, with the tabs 51 and struts 49 separated from one another by slots 59.

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Similar to the previously-described collet 38, the alternative collet 39 comprises a spindle 46 and a collar 44, between which the tabs 51 and struts 49 extend. The tabs 51 are separated from the spindle 46 from an aperture 53. The tabs 51, therefore, "float" at one end to permit the grabbing of the tool shaft (see above) once the collet 39 is biased into the sloped edges of the collet housing (see above). As with the first-disclosed collet 38, this collet 39 is extremely well balanced. Furthermore, the presently-described collet 39 is easier to manufacture, as will be discussed below.

Now turning to FIG. 9, we can see the improved simplicity with this alternative collet 39. FIG. 9 is a partial cutaway side view of the collet 39 of FIG. 8, depicting the cross-section of the alternative collet 39. As can be seen, the struts 49 are evenly spaced around the periphery of the collet 39, and further interweaved with a plurality of tabs 51. Because the tabs 51 are only connected at one of their ends, they freely float towards and away from the center of the collet 39. A distinction from the prior-disclosed collet 38 lies in the struts 49—the struts 49 have uniquely configured flat faces that are formed via a unique and improved and highly repeatable process that is disclosed below in connection with FIGS. 10A and 10B.

FIGS. 10A and 10B are partial cutaway side views depicting the novel manufacturing process for making the collet 39 of FIGS. 8 and 9. Like the previously-disclosed collet 38, for this alternative embodiment 39, a plurality of slots 59 are cut, and the tabs 51 and struts 49 are cut to a common angle and surface radius. Unlike the first collet 38, however, the tabs 51 are not made to protrude outwardly from the struts 49 by first cutting the tabs 51 free before boring out the center section (see previous figures and discussion). In the present embodiment, the outer surfaces of the struts 49 are cut back to create the radial protrusion of the tabs 51. As shown in FIG. 10A, the surfaces 49A and 49B are created by grinding down the outer surface of the strut 49. Once ground, therefore, the surfaces 51A protrude outward radially greater than the surfaces 49A and 49B. Furthermore, the resultant angles between the outer surfaces 49A and 49B and the inner axial surface 49C are not equal to the angles between the outer surfaces 51A and the inner axial surface 51C, as described below in connection with FIGS. 11 and 12.

FIG. 11 is a partial cutaway side view of the alternative tab 51 of the collet 39 of FIGS. 8 through 10. In this view, there can be seen an angle θ_1 between the inner axial surface 51C and the outer surface 51A of the tab 51. This angle is preferably 4.3 degrees. In contrast, FIG. 12 shows that the struts 49 are configured differently.

FIG. 12 is a partial cutaway side view of the alternative strut 49 of the collet 38 of FIGS. 8 through 10. The preferred alternative strut 49 has an outer surface (here 49A is depicted) that is ground to an angle θ_2 between it and the inner axial surface 49C, further causing the tabs 51 to protrude and be engaged by the collet housing (see above) to hold the tool shaft firmly.

The benefit of this just-disclosed process for manufacturing is in its inherent simplicity and therefore inherent repeatability. The process is easily adaptable to Computer-Aided Manufacturing machinery to create a multitude of "perfect" collets 39 in a very short amount of time, and with virtually no need for subsequent inspection.

One should understand that while the present embodiments depict a "rear-release" collet, the present unique collet design is easily reconfigured to permit pressure on the front of the collet to release the shaft. The rear-release collet is a

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necessity for the dental handpiece application to prevent blood and other foreign matter from entering the open end of the collet and thereby causing the tool shaft to be jammed therein.

It should furthermore be appreciated that the present invention is readily adaptable to hold any diameter of burr or bit, if desired. In other words, a larger version of the same collet assembly would function identically to that previously-described, and with the same advantages. The difference is simply one of scale.

Those skilled in the art will appreciate that various adaptations and modifications of the just-described preferred embodiment can be configured without departing from the scope and spirit of the invention. Therefore, it is to be understood that, within the scope of the appended claims, the invention may be practiced other than as specifically described herein.

What is claimed is:

1. An improved collet assembly for holding a tool shaft, comprising:

a collet defined by an axial collet bore adapted to receive said shaft, and a plurality of tabs integral to said collet and adjacent to said bore; and

a collet housing including an axial housing bore adapted to receive said collet and further including a tapered portion, whereby said tabs cooperate with said tapered portion to hold said tool shaft.

2. The collet assembly of claim 1, wherein:

said collet comprises:

a spindle;

a center portion extending from said spindle and comprising said tabs; and

a collar adjacent to said center portion; and

wherein said axial collet bore penetrates said collar and said center portion.

3. The collet assembly of claim 2, wherein said center portion further comprises a plurality of struts extending between said spindle and said collar.

4. The collet assembly of claim 3, further comprising a biasing means for biasing said tabs towards said tapered portion.

5. The collet assembly of claim 4, wherein said biasing means exerts biasing force at said collar.

6. The collet assembly of claim 5, wherein:

said collet housing further comprises a first end and a second end, with said axial housing bore extending from said first end to said second end;

said collet assembly further comprises a retainer attached in said housing bore between said first end and said biasing means; and

said spindle extends out of said second end.

7. The collet assembly of claim 6, wherein said collet comprises a unitary piece.

8. The collet assembly of claim 7, wherein:

said tabs are further defined by inner surfaces for holding the tool shaft and outer surfaces for engaging said tapered portion, said inner surfaces being curved convexly, and said outer surfaces being curved concavely; and

said struts are further defined by inner surfaces and outer surfaces, said inner surfaces being curved convexly.

9. The collet assembly of claim 8, wherein:

said collet defines a longitudinal axis;

said strut outer surfaces are defined by at least one flat surface defining a strut angle between said strut outer

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surfaces and said axis, said strut angles being substantially equal to each other; and
said tab outer surfaces define a tab angle between said tab outer surfaces and said axis, said tab angles being substantially equal to each other; and
wherein each said tab angle is different from each said strut angle.

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10. The collet assembly of claim **9**, wherein:
each said tab angle is less than each said strut angle.
11. The collet assembly of claim **10**, wherein:
each said tab angle is 4.3 degrees; and
each said strut angle is 5.0 degrees.

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